



PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |   |                        |                   |
|--|---|------------------------|-------------------|
|  |   | Application Number     | 10/701,846        |
|  |   | Filing Date            | November 5, 2003  |
|  |   | First Named Inventor   | James R. Colgrove |
|  |   | Art Unit               | 3653              |
|  |   | Examiner Name          |                   |
| Total Number of Pages in This Submission | 3 | Attorney Docket Number | 4103              |

### ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address                 | <input type="checkbox"/> Other Enclosure(s) (please Identify below):                       |
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| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |
| Remarks  |   |  |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |                                   |  |
|-------------------------|-----------------------------------|--|
| Firm or Individual name | Joseph P. Gastel, Reg. No. 17,796 |  |
| Signature               |                                   |  |
| Date                    | February 3, 2004                  |  |

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

|                       |                  |      |
|-----------------------|------------------|------|
| Typed or printed name | Joseph P. Gastel |      |
| Signature             |                  | Date |
|                       | Feb. 3, 2004     |      |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. Serial No. - 10/701,846  
Filing Date - November 5, 2003  
Inventor - James R. Colgrove et al  
Group Art Unit - 3653  
Examiner name -  
Atty Docket - 4103

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR BEFORE MAILING  
OF FIRST OFFICE ACTION UNDER 37 CFR 1.97(b)**

MAIL STOP DD  
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P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last.

This application is a continuation-in-part of application Serial No. 10/652,740, filed August 29, 2003. Copies of the foreign patents are being supplied. It is applicants' understanding that a copy of the U.S. patent need not be supplied.

Respectfully submitted,

JAMES R. COLGROVE ET AL

JOSEPH P. GASTEL

By



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February 3, 2004

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/701,846        |
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| Art Unit               | 3653              |
| Examiner Name          |                   |
| Attorney Docket Number | 4103              |

Sheet 1 of 1

## **U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       | FR 2,407,026  | 05-25-1979                     | United Wire  |   |                |
|                    |                       | GB 2,087,265  | 05-26-1982                     | Carrington Viyella                                 |   |                |
|                    |                       | GB 2,175,222  | 11-26-1986                     | Thule United Ltd                                   |   |                |
|                    |                       | DE 35 42 635  |                                | Steinhaus GmbH                                     |   |                |
|                    |                       | EP 0 155 204 A2   | 09-18-1985                     | Transfer of Technology                             |   |                |
|                    |                       |   |                                |  |   |                |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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